

**National Institutes of Health
Office of the Director**

Amounts Available for Obligation 1/

Source of Funding	FY 2005 Actual	FY 2006 Appropriation	FY 2007 Estimate
Appropriation	\$408,166,000	\$532,395,000	\$667,825,000
Enacted Rescissions	(3,099,000)	(4,829,000)	0
Subtotal, Adjusted Appropriation	405,067,000	527,566,000	667,825,000
Real transfer under NIH Director's One-percent transfer authority for Roadmap	(175,731,000)	0	0
Comparative transfer from OD for NIH Roadmap	175,731,000	0	0
Subtotal, adjusted budget authority	405,067,000	527,566,000	667,825,000
Subtotal, adjusted budget authority	405,067,000	527,566,000	667,825,000
Unobligated balance lapsing	(104,000)	0	0
Total obligations	404,963,000	527,566,000	667,825,000

1/ Excludes the following amounts for reimbursable activities carried out by this account:
FY 2005 - \$613,436,000 FY 2006 - \$627,000,000 FY 2007 - \$638,000,000

NATIONAL INSTITUTES OF HEALTH

Office of the Director

Budget Mechanism

	FY 2005 Actual	FY 2006 Appropriation	FY 2007 Estimate
OD Operations	\$106,212,000	\$106,796,000	\$108,900,000
Director's Discretionary Fund	69,425,000	92,070,000	120,700,000
Office of AIDS Research	60,872,000	60,290,000	59,290,000
Science Education	3,862,000	3,839,000	3,839,000
Research on Women's Health	41,198,000	40,949,000	40,949,000
Loan Repayment Program	7,184,000	7,141,000	7,141,000
Office of Rare Diseases	15,642,000	15,548,000	15,548,000
Office of Dietary Supplements	26,970,000	26,807,000	26,807,000
Office of Behavioral & Social Sciences Research	26,080,000	26,121,000	26,121,000
Portfolio Analysis & Strategic Initiatives	0	1,980,000	3,000,000
Nuclear/Radiological/Chemical Countermeasures	47,021,000	96,030,000	96,030,000
Advanced Product Development		49,500,000	159,500,000
Foundation for the National Institutes of Health	497,000	495,000	0
Total Budget Authority	404,963,000	527,566,000	667,825,000

National Institutes of Health
Office of the Director
Allocation by Mechanism 1/
(Dollars in thousands)

	FY 2005 Actual	FY 2006 Appropriation	FY 2007 Estimate
<u>Research Grants:</u>			
Research Projects	\$157,621	\$282,357	\$413,441
Research Centers	0	0	0
Other Research	0	0	0
Total, Research Grants	157,621	282,357	413,441
Training			
R&D Contracts	30,089	30,344	31,083
Intramural Research	12,986	12,093	12,116
Res. Mgmt. and Support	98,055	95,976	102,285
Cancer Control	---	---	---
Construction	---	---	---
Total	141,130	138,413	145,484
OD Operations	106,212	106,796	108,900
Total, OD	404,963	527,566	667,825

1/ This represents a breakout of the OD's budget as it is ultimately expended by mechanism.

**NATIONAL INSTITUTES OF HEALTH
Office of the Director**

Budget Authority by Activity
(dollars in thousands)

ACTIVITY	FY 2005 Actual		FY 2006 Appropriation		FY 2007 Estimate		Change	
	FTEs	Amount	FTEs	Amount	FTEs	Amount	FTEs	Amount
Res. management & support	537	\$405,067	632	\$527,566	635	\$667,825	3	\$140,259
Total	537	405,067	632	527,566	635	667,825	3	140,259

Includes FTEs which are reimbursed from the NIH Roadmap for Medical Research

NATIONAL INSTITUTES OF HEALTH

Office of the Director

Summary of Changes

FY 2006 Estimate		\$527,566,000	
FY 2007 Estimated Budget Authority		667,825,000	
Net change		140,259,000	
CHANGES	FY 2006 Appropriation		Change from Base
	FTEs	Budget Authority	FTEs Budget Authority
A. Built-in:			
1. Intramural research:			
a. Within grade increase		\$0	\$0
b. Annualization of January 2006 pay increase		0	0
c. January 2007 pay increase		0	0
d. One less day of pay		0	0
e. Payment for centrally furnished services		0	0
f. Increased cost of laboratory supplies, materials, and other expenses		0	0
Subtotal			0
2. Research Management and Support:			
a. Within grade increase		77,153,000	1,339,000
b. Annualization of January 2006 pay increase		77,153,000	608,000
c. January 2007 pay increase		77,153,000	1,305,000
d. One less day of pay		77,153,000	0
e. Payment for centrally furnished services		0	0
f. Increased cost of laboratory supplies, materials, and other expenses		127,195,000	3,400,000
Subtotal			6,652,000
Subtotal, Built-in			6,652,000

**NATIONAL INSTITUTES OF HEALTH
Office of the Director**

Summary of Changes--continued

CHANGES	2006 Current Estimate Base		Change from Base	
	No.	Amount	No.	Amount
B. Program:				
1. Research project grants:				
a. Noncompeting	0	\$282,357,000	0	\$131,084,000
b. Competing	0	0	0	0
c. SBIR/STTR	0	0	0	0
Total	0	282,357,000	0	131,084,000
2. Research centers	0	0	0	0
3. Other research	0	0	0	0
4. Research training	0	0	0	0
5. Research and development contracts	0	40,861,000	0	2,139,000
Subtotal, extramural				133,223,000
	<u>FTEs</u>		<u>FTEs</u>	
6. Intramural research	0	0	0	0
7. Research management and support	617	77,153,000	3	384,000
8. Cancer control and prevention	0	0	0	0
9. Construction		0		0
10. Buildings and Facilities		0		0
11. NIH Roadmap for Medical Research	15	0	0	0
Subtotal, program		400,371,000		133,607,000
Total changes	632		3	140,259,000

**NATIONAL INSTITUTES OF HEALTH
Office of the Director**

Budget Authority by Object

	FY 2006 Appropriation	FY 2007 Estimate	Increase or Decrease
Total compensable workyears:			
Full-time employment	632	635	3
Full-time equivalent of overtime & holiday hours	4	4	0
Average ES salary	\$156,960	\$163,238	\$6,278
Average GM/GS grade	12.3	12.3	0.0
Average GM/GS salary	\$94,844	\$98,638	\$3,794
Average salary, grade established by act of July 1, 1944 (42 U.S.C. 207)	\$94,027	\$97,789	\$3,762
Average salary of ungraded positions	163,287	169,819	6,532
OBJECT CLASSES	FY 2006 Appropriation	FY 2007 Estimate	Increase or Decrease
Personnel Compensation:			
11.1 Full-Time Permanent	\$49,809,000	\$52,166,000	\$2,357,000
11.3 Other than Full-Time Permanent	4,390,000	4,597,000	207,000
11.5 Other Personnel Compensation	1,763,000	1,847,000	84,000
11.7 Military Personnel	696,000	729,000	33,000
11.8 Special Personnel Services Payments	0	0	0
Total, Personnel Compensation	56,658,000	59,339,000	2,681,000
12.0 Personnel Benefits	19,654,000	20,582,000	928,000
12.2 Military Personnel Benefits	450,000	470,000	20,000
13.0 Benefits for Former Personnel	0	0	0
Subtotal, Pay Costs	76,762,000	80,391,000	3,629,000
21.0 Travel & Transportation of Persons	1,850,000	1,875,000	25,000
22.0 Transportation of Things	200,000	200,000	0
23.1 Rental Payments to GSA	10,000	10,000	0
23.2 Rental Payments to Others	300,000	300,000	0
23.3 Communications, Utilities & Miscellaneous Charges	1,000,000	1,150,000	150,000
24.0 Printing & Reproduction	1,200,000	1,300,000	100,000
25.1 Consulting Services	3,150,000	3,350,000	200,000
25.2 Other Services	39,532,000	39,083,000	(449,000)
25.3 Purchase of Goods & Services from Government Accounts	40,359,000	40,969,000	610,000
25.4 Operation & Maintenance of Facilities	2,900,000	3,150,000	250,000
25.5 Research & Development Contracts	15,361,000	15,500,000	139,000
25.6 Medical Care	0	0	0
25.7 Operation & Maintenance of Equipment	2,300,000	2,300,000	0
25.8 Subsistence & Support of Persons	0	0	0
25.0 Subtotal, Other Contractual Services	103,602,000	104,352,000	750,000
26.0 Supplies & Materials	2,400,000	2,400,000	0
31.0 Equipment	4,000,000	4,000,000	0
32.0 Land and Structures	0	0	0
33.0 Investments & Loans	0	0	0
41.0 Grants, Subsidies & Contributions	254,072,000	361,147,000	107,075,000
42.0 Insurance Claims & Indemnities	0	0	0
43.0 Interest & Dividends	0	0	0
44.0 Refunds	82,170,000	110,700,000	28,530,000
Subtotal, Non-Pay Costs	368,634,000	476,734,000	108,100,000
NIH Roadmap for Medical Research	82,170,000	110,700,000	28,530,000
Total Budget Authority by Object	527,566,000	667,825,000	140,259,000

Includes FTEs which are reimbursed from the NIH Roadmap for Medical Research

NATIONAL INSTITUTES OF HEALTH

Office of the Director

Salaries and Expenses

OBJECT CLASSES	FY 2006 Appropriation	FY 2007 Estimate	Increase or Decrease
Personnel Compensation:			
Full-Time Permanent (11.1)	\$49,809,000	\$52,166,000	\$2,357,000
Other Than Full-Time Permanent (11.3)	4,390,000	4,597,000	207,000
Other Personnel Compensation (11.5)	1,763,000	1,847,000	84,000
Military Personnel (11.7)	696,000	729,000	33,000
Special Personnel Services Payments (11.8)	0	0	0
Total Personnel Compensation (11.9)	56,658,000	59,339,000	2,681,000
Civilian Personnel Benefits (12.1)	19,654,000	20,582,000	928,000
Military Personnel Benefits (12.2)	450,000	470,000	
Benefits to Former Personnel (13.0)	0	0	0
Subtotal, Pay Costs	76,762,000	80,391,000	3,629,000
Travel (21.0)	1,850,000	1,875,000	25,000
Transportation of Things (22.0)	200,000	200,000	0
Rental Payments to Others (23.2)	300,000	300,000	0
Communications, Utilities and Miscellaneous Charges (23.3)	1,000,000	1,150,000	150,000
Printing and Reproduction (24.0)	1,200,000	1,300,000	100,000
Other Contractual Services:			
Advisory and Assistance Services (25.1)	3,150,000	3,350,000	200,000
Other Services (25.2)	39,532,000	39,083,000	(449,000)
Purchases from Govt. Accounts (25.3)	40,359,000	40,969,000	610,000
Operation & Maintenance of Facilities (25.4)	2,900,000	3,150,000	250,000
Operation & Maintenance of Equipment (25.7)	2,300,000	2,300,000	0
Subsistence & Support of Persons (25.8)	0	0	0
Subtotal Other Contractual Services	88,241,000	88,852,000	611,000
Supplies and Materials (26.0)	2,400,000	2,400,000	0
Subtotal, Non-Pay Costs	95,191,000	96,077,000	886,000
Total, Administrative Costs	171,953,000	176,468,000	4,515,000

**NATIONAL INSTITUTES OF HEALTH
Office of the Director**

Authorizing Legislation

	PHS Act/ Other Citation	U.S. Code Citation	2006 Amount Authorized	FY 2006 Appropriation	2007 Amount Authorized	FY 2007 Budget Estimate
Research and Investigation	Section 301	42§241	Indefinite	\$527,566,000	Indefinite	\$667,825,000
Office of the Director	Section 41B	42§285b	Indefinite		Indefinite	
National Research Service Awards	Section 487(d)	42§288	a/	0		0
Total, Budget Authority				527,566,000		0

a/ Amounts authorized by Section 301 and Title IV of the Public Health Act.

**NATIONAL INSTITUTES OF HEALTH
Office of the Director**

Appropriations History

Fiscal Year	Budget Estimate to Congress	House Allowance	Senate Allowance	Appropriation <u>1/</u>
1998	234,247,000 <u>2/</u>	298,339,000	292,196,000	296,373,000
1999	212,309,000 <u>2/ 3/</u>	254,145,000	302,947,000	306,559,000
Rescission	0	0	0	(170,000)
2000	218,153,000 <u>2/</u>	270,383,000	299,504,000	283,509,000
Rescission				(1,509,000)
2001	262,456,000 <u>2/</u>	342,307,000	352,165,000	213,581,000
Rescission				(137,000)
2002	232,098,000	232,098,000	236,408,000	235,540,000
Rescission				(140,000)
2003	253,859,000		257,974,000	267,974,000
Rescission				(1,742,000)
2004	317,983,000	317,568,000	323,068,000	329,707,000
Rescission				(2,203,000)
2005	359,645,000	359,645,000	364,100,000	361,145,000
Rescission				(3,099,000)
2006	385,195,000	532,216,000	537,434,000	532,395,000
Rescission				(4,829,000)
2007	667,825,000			

1/ Reflects enacted supplementals, rescissions, and reappropriations.

2/ Excludes funds for HIV/AIDS research activities consolidated in the NIH Office of AIDS Research

3/ Reflects a decrease of \$x,xxx,000 for the budget amendment for Bioterrorism

NATIONAL INSTITUTES OF HEALTH
Office of the Director

Detail of Full-Time Equivalent Employment (FTEs)

OFFICE/DIVISION	FY 2005 Actual	FY 2006 Appropriation	FY 2007 Estimate
Office of the Director	537	632	635
Total	537	632	635
Includes FTEs which are reimbursed from the NIH Roadmap for Medical Research FTEs supported by funds from Cooperative Research and Development Agreements			
	(0)	(0)	(0)
FISCAL YEAR	Average GM/GS Grade		
2003	11.7		
2004	11.7		
2005	12.3		
2006	12.3		
2007	12.3		

NATIONAL INSTITUTES OF HEALTH
Office of the Director

Detail of Positions

GRADE	FY 2005 Actual	FY 2006 Appropriation	FY 2007 Estimate
Total - ES Positions	10	11	11
Total - ES Salary	\$1,509,231	\$1,726,586	\$1,798,650
GM/GS-15	94	114	114
GM/GS-14	99	122	123
GM/GS-13	124	149	151
GS-12	63	75	75
GS-11	28	35	35
GS-10	7	8	8
GS-9	37	46	46
GS-8	15	18	18
GS-7	14	17	17
GS-6	3	3	3
GS-5	4	5	5
GS-4	3	4	4
GS-3	1	1	1
GS-2	1	1	1
GS-1	0	0	0
Subtotal	493	598	601
Grades established by Act of July 1, 1944 (42 U.S.C. 207):			
Assistant Surgeon General	1	1	1
Director Grade	6	6	6
Senior Grade	3	3	3
Full Grade	1	1	1
Senior Assistant Grade			
Assistant Grade			
Subtotal	11	11	11
Ungraded	25	25	25
Total permanent positions	501	603	606
Total positions, end of year	539	645	645
Total full-time equivalent (FTE) employment, end of year	537	632	635
Average ES salary	\$150,923	\$156,960	\$163,238
Average GM/GS grade	0.0	12.3	12.3
Average GM/GS salary	\$0	\$94,844	\$98,638

Includes FTEs which are reimbursed from the NIH Roadmap for Medical Research

**NATIONAL INSTITUTES OF HEALTH
Office of the Director**

New Positions Requested

	FY 2007		
	Grade	Number	Annual Salary
Program Analyst	GS-13	1	\$91,171
Program Analyst	GS-12	2	76,669
Total Requested		3	